

12/21/01  
JC860 U.S. PTO

01/07/02

J1000 U.S. PTO  
10/03/398

12/21/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Only for new non-provisional applications under 37 CFR  
1.53(b))

Attorney Docket No. 30014200-1022

First Named Inventor or Application Identifier

Thomas Pfohe

Express Mail Label No: EL834556797US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application  
contents.

1.  Specification Total Pages 28
2.  Drawing(s) (35USC 113) Total Pages 10
3.  Declaration and Power of Attorney Total Pages 6
  - a.  Unexecuted(original or copy)
  - b.  Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)

*[Note Box 4 Below]*

  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
4.  Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**ACCOMPANYING APPLICATION PARTS**

5.  Assignment Papers (cover sheet & documentation)  
Sun Microsystems, Inc.
6.  Letter under 37 CFR 1.41(c).
7.  English Translation Document to follow (if applicable)
8.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
9.  Preliminary Amendment
10.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11.  Applicant is a Small Business Entity
12.

## 14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP)  of prior application No:

**CLAIMS AS FILED**

|  | (1) FOR   | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE  | (5) BASIC FEE |
|--|---|------------------|------------------|-----------|---------------|
|  |   |                  |                  |           | \$740.00      |
|  | TOTAL CLAIMS  | 37               | 17               | \$18.00   | 306.00        |
|  | INDEPENDENT CLAIMS  | 11               | 8                | 84.00     | 672.00        |
|  | ANY MULTIPLE DEPENDENT CLAIMS?                                      |                  |                  | 280.00    | 0.00          |
|  | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |                  |                  | TOTAL FEE | \$1,718.00    |

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

A check in the amount of \$1,718.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal  
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Date: December 21, 2001

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01-07-02

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

1000 U.S. PTO  
10/03/2001  


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Date of Deposit: December 21, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Asst. Commissioner for Patents, Washington, D.C. 20231.

Applicant(s): Thomas Pfohe and Thorsten Laux

Attorney Docket No. 30014200-1022

Executed Declaration

Executed Assignment, PTO Form 1595 and \$40.00 recording fee

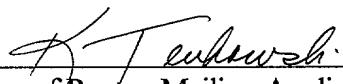
Utility Patent Application Transmittal Form (and one copy)

Specification (28 pages)

Drawings (10 sheets - Figs. 1-12)

\$1,718.00 filing fee (37 claims - 11 independent)

Return postcard

  
Signature of Person Mailing Application and Fees